

**Report Form: Complaint or Allegations of a breach of the Australian Code for the Responsible Conduct of Research, 2018**

This form is for making a complaint or allegation of research misconduct or serious research misconduct. Before proceeding, consider discussing the matter with a Research Integrity Adviser. The below documents will assist in determining the nature of research misconduct that has occurred:

* [*The Australian Code for the Responsible Conduct of Research, 2018*](https://www.nhmrc.gov.au/about-us/publications/australian-code-responsible-conduct-research-2018), and
* [*Guidance Document: Making a research misconduct complaint or allegation*](https://services.anu.edu.au/files/guidance/Guidance%20Document_Making%20an%20Allegation%20of%20Research%20Misconduct_2023_1.docx) *against a current or former ANU staff member or Visiting/Honorary researcher*

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If sections are not applicable or you don’t know the information please clearly state this. Please do not leave sections blank.

### Details of person lodging the allegation

|  |  |
| --- | --- |
| Title |  |
| Surname |  |
| Given Name |  |
| Organisation |  |
| Position Title |  |
| Department |  |
| Phone Numbers |  |
| Postal Address |  |
| Email |  |

* 1. **Are you lodging the allegation in a personal or professional capacity?**

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**1.3 If you are an ANU staff member please provide your University ID Number**

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### Details of (Primary) person alleged to have committed research misconduct. If more than one person is involved in the same matter, please replicate the table below for each. Please complete as much as you can.

|  |  |
| --- | --- |
| Title |  |
| Surname |  |
| Given Name |  |
| Organisation |  |
| Position Title |  |
| Department |  |
| Telephone Numbers |  |
| Email |  |

### At the time the alleged research misconduct occurred was the person/s involved staff or students of the ANU?

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### Date/s the alleged research misconduct occurred

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### Nature of the alleged research misconduct:

*Please indicate all that apply*

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| --- | --- |
| 1. **Not meeting required research standards** |  |
| * Conducting research without ethics approval as required by the [*National Statement on Ethical Conduct in Human Research*](https://www.bing.com/ck/a?!&&p=494653793ad0aabdJmltdHM9MTY5NjgwOTYwMCZpZ3VpZD0zZjc3MzcwMS05YmRlLTY0MzAtMDNlMy0yNjAxOWE0MTY1NGQmaW5zaWQ9NTIwMQ&ptn=3&hsh=3&fclid=3f773701-9bde-6430-03e3-26019a41654d&psq=National+Statement+on+Ethical+Conduct+in+Human+Research&u=a1aHR0cHM6Ly93d3cubmhtcmMuZ292LmF1L2Fib3V0LXVzL3B1YmxpY2F0aW9ucy9uYXRpb25hbC1zdGF0ZW1lbnQtZXRoaWNhbC1jb25kdWN0LWh1bWFuLXJlc2VhcmNoLTIwMjM&ntb=1) and the [*Australian Code for the Care and Use of Animals for Scientific Purposes*](https://www.bing.com/ck/a?!&&p=77f4c53d4b3b3719JmltdHM9MTY5NjgwOTYwMCZpZ3VpZD0zZjc3MzcwMS05YmRlLTY0MzAtMDNlMy0yNjAxOWE0MTY1NGQmaW5zaWQ9NTIxMg&ptn=3&hsh=3&fclid=3f773701-9bde-6430-03e3-26019a41654d&psq=Australian+Code+for+the+Care+and+Use+of+Animals+for+Scientific+Purposes&u=a1aHR0cHM6Ly93d3cubmhtcmMuZ292LmF1L2Fib3V0LXVzL3B1YmxpY2F0aW9ucy9hdXN0cmFsaWFuLWNvZGUtY2FyZS1hbmQtdXNlLWFuaW1hbHMtc2NpZW50aWZpYy1wdXJwb3Nlcw&ntb=1) |  |
| * Failing to conduct research as approved by an appropriate ethics review body |  |
| * Conducting research without the requisite approvals, permits or licences |  |
| * Misuse of research funds |  |
| * Concealment or facilitation of breaches (or potential breaches) of the Code by others |  |
| 1. **Fabrication, falsification, misrepresentation** |  |
| * Fabrication of research data or source material |  |
| * Falsification of research data or source material |  |
| * Misrepresentation of research data or source material |  |
| * Falsification and/or misrepresentation to obtain funding |  |
| 1. **Plagiarism** |  |
| * Plagiarism of someone else’s work, including theories, concepts, research data and source material |  |
| * Duplicate publication (also known as redundant or multiple publication, or self-plagiarism) without acknowledgement of the source |  |
| 1. **Research data management** |  |
| * Failure to appropriately maintain research records |  |
| * Inappropriate destruction of research records, research data and/or source material |  |
| * Inappropriate disclosure of, or access to, research records, research data and/or source material |  |
| 1. **Supervision** |  |
| * Failure to provide adequate guidance or mentorship on responsible research conduct to researchers or research trainees under their supervision |  |

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| 1. **Other** *(please specify)* |  |

### Clearly outline the type of misconduct or activity that is alleged to have occurred.

* Identify and provide as much supporting evidence as possible to substantiate the allegation, either by writing in the box below or attaching a separate document.
* It is helpful if each allegation or instance is numbered.
* Evidence should be numbered to correspond with relevant points within the allegation.
* Where the evidence is a document, sections of the document should be clearly referred to by page number section, etc., and where possible should be physically marked to match each alleged instance.

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**Declaration**

I hereby declare that the information I have provided is true and correct to the best of my belief.

**Name:**

**Position:**

**Date:**

**Signature:**

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Please return this form together with relevant supporting documentation to:

Email: [researchintegrity@anu.edu.au](mailto:researchintegrity@anu.edu.au) or

Post:

Attention: The Research Integrity Officer

Research Services and Innovation

48A Linnaeus Way

Canberra ACT 2600

The Australian National University

If you have non-documentary evidence that supports your allegation please contact [researchintegrity@anu.edu.au](mailto:researchintegrity@anu.edu.au) to organise an appropriate method of submission.