

**Report Form: Allegations of Research Misconduct or Breaches of Research Integrity**

This form is for making a complaint or allegation about a breach of research integrity or research misconduct. Before proceeding please read the Guidance Document: *making a research misconduct complaint or allegation* and consider discussing the matter with a research integrity adviser.

This form is not for reporting matters of concern unrelated to the conduct of research itself, such as workplace bullying and harassment. There are separate University processes for dealing with these matters.

If sections are not applicable or you don’t know the information please clearly state this, please do not leave sections blank.

### Details of person lodging the allegation

|  |  |
| --- | --- |
| **Title** |  |
| **Surname** |  |
| **Given Name** |  |
| **Organisation** |  |
| **Position Title** |  |
| **Department** |  |
| **Phone Numbers** |  |
| **Postal Address** |  |
| **Email** |  |

* 1. **Are you lodging the allegation in a personal or professional capacity?**

|  |
| --- |
|  |

**1.3 If you are an ANU staff member please provide your University ID Number**

|  |
| --- |
|  |

### Details of (Primary) Person alleged to have committed Research Misconduct. If more than one person is involved in the same matter, please replicate the table below for each. Please complete as much as you can.

|  |  |
| --- | --- |
| **Title** |  |
| **Surname** |  |
| **Given Name** |  |
| **Organisation** |  |
| **Position Title** |  |
| **Department** |  |
| **Telephone Numbers** |  |
| **Email** |  |

### At the time the alleged Research Misconduct occurred was the person/s involved staff or students of the ANU?

|  |
| --- |
|  |

### Date/s the alleged Research Misconduct occurred.

|  |
| --- |
|  |

### Nature of the alleged Research Misconduct:

*Please indicate all that apply*

Fabrication of research results

Falsification or misrepresentation of research results

Sabotage of research or results

Plagiarism

Misleading ascription of authorship (including in a funding application)

Fraud

Failure to declare and/or manage serious conflicts of interest

Falsification or misrepresentation to obtain research funding

Conducting research without ethics approval(s) as required (human and/or animal)

Conducting research with genetically modified organisms without approval from or as required by an rDNA Committee

Avoidable failure to follow research proposals as approved by a properly constituted research ethics committee

Risking the safety of human participants, or the wellbeing of animals or the environment

Deviations from the *Australian Code for the Responsible Conduct of Research* that occur through gross or persistent negligence

Wilful concealment or facilitation of research misconduct by others

Other- please specify:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

### Clearly outline the type of misconduct or activity that is alleged to have occurred.

Identify and provide as much supporting evidence as possible to substantiate the allegation, including the policy, procedure or practice that has been contravened. It is helpful if each allegation or instance is numbered and that any evidence be attached to this form upon submission. Evidence should be numbered to correspond with relevant points within the allegation and where the evidence is a document, sections of the document should be clearly referred to by page number section etc and where possible should be physically marked to match each alleged instance.

|  |
| --- |
|  |

**Declaration**

I hereby declare that the information I have provided is true and correct to the best of my belief.

**Name:**

**Position:**

**Date:**

**Signature:**

Please return this form along with relevant supporting documentation to:

Email: [researchintegrity@anu.edu.au](mailto:researchintegrity@anu.edu.au) or

Post:

Attention: The Research Integrity Officer

Research Ethics & Integrity

Research Services

Building 36

The Australian National University

Canberra

ACT 2601

If you have non-documentary evidence that supports your allegation please contact [researchintegrity@anu.edu.au](mailto:researchintegrity@anu.edu.au) to organise an appropriate method of submission.

*Research Integrity Unit Use Only*

|  |  |
| --- | --- |
| *Date & Time Received* |  |
| *Complaint Identification Number* |  |
| *Format (email, hard copy etc)* |  |
| *Number of electronic supporting documents attached* |  |
| *Number of hard copy supporting documents/items provided* |  |
| *Other physical evidence provided* |  |

*Matter referred to another University area/s for review and action*

|  |  |  |
| --- | --- | --- |
| *Date referred* | *Area* | *Section of allegation referred* |
|  |  |  |
|  |  |  |