Complaint received by Research Integrity Office and appears to be related to a potential breach of the Code by person/s affiliated with the ANU at the time of the alleged breach.

Research Integrity Office carries out preliminary assessment and refers to Designated Person. Designated Person determines whether the complaint is an allegation of research misconduct or serious research misconduct, as defined under the ANU Enterprise Agreement 2017-2021. (EA 71.1-7, 71.13)

Designated Person determines the complaint to be an allegation of research misconduct or serious research misconduct and refers the matter to a Research Misconduct Assessor (RMA) to assess for a prima facie case. (EA 71.13)

Complaint dismissed and matter referred to other institutional processes, complainant notified. (EA 71.14)

Designated Person determines the complaint not to be an allegation of research misconduct or serious research misconduct. (EA 71.7)

Complaint referred for investigation of serious research misconduct. Vice-Chancellor (or delegate), Director – HR, respondent and complainant notified. (EA 71.32)

RMA conducts investigation, Director – HR notified. (EA 71.6, 71.29)

Designated Person is advised of RMA determination and implements one of the following actions. (EA 71.14-71.15).

Complaint dismissed, complainant notified. (EA 71.14)

Serious research misconduct investigation proceeds and the Designated Person implements one of the following actions: (EA: 71.33-71.34)

Respondent admits the charge of serious research misconduct. (EA: 71.36)

Respondent denies the charge of serious research misconduct. (EA: 71.36)

Serious research misconduct found, complainant & respondent notified. (EA 71.44).

No serious research misconduct found, complainant & respondent notified.

Matter referred to a Research Integrity Committee.

Serious research misconduct charge dismissed and Director – HR, complainant & respondent notified.

Serious research misconduct established. Matter referred to respondent’s supervisor and Director – HR, complainant & respondent notified.

Serious research misconduct charge dismissed. Matter referred to respondent’s supervisor for consideration of misconduct, serious misconduct or under-performance. Director – HR, complainant & respondent notified.

Serious research misconduct charge dismissed. Matter referred to respondent’s supervisor for consideration of misconduct, serious misconduct or under-performance. Director – HR, complainant & respondent notified.

Serious research misconduct charge dismissed and Director – HR, complainant & respondent notified.

Research misconduct established. Matter referred to respondent’s supervisor. Director – HR, complainant & respondent notified.

Allegation dismissed and matter referred to respondent’s supervisor for consideration of misconduct, serious misconduct or under-performance. Director – HR, complainant & respondent notified. (EA 71.31)

Allegation of serious research misconduct dismissed. Director – HR, complainant & respondent notified. (EA 71.14)

Allegation of research misconduct dismissed. Director – HR, complainant & respondent notified. (EA 71.14)