

ANU HDR SUPERVISION: *POLICY AND PRACTICE UPDATE 2022*

In this Update (March 2022):

- Key policy revisions and practice changes for 2022:
 - changes to the *Research Awards* and *Research Integrity Rules*
 - HDR funding changes and implications
 - *ANU PhD Strategy* and related initiatives.
- Resources to support good practice:
 - *Supervising Doctoral Studies* modules
 - Blogs and related resources
 - Workshop recordings.



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1. Key policy revisions for 2022

The two key revisions relevant to HDR Supervision are:

1. *Research Awards Rule 2021;*
2. *Academic Integrity Rule 2021,*
and associated *Policy and Procedure.*



Policy Revision 1: *Research Awards Rule 2021*

Revisions are intended to ensure consistency:

- for reviewable and appealable decisions;
- in timeframes for review and appeal.

- Changes to **completion timeline** and delegation, in:
 - Periods of approved leave, which extend Maximum Period of Completion;
 - Program, which now ceases at end of Maximum Period of Completion.



Changes to HDR candidate completion timeline:

Timeline for Completion (Full-time PhD unless noted)	
Standard Program Duration (= Maximum Submission Date)	4 years
Maximum Period for Completion (MPC)	5 years (3 years full-time MPhil, 10 years part-time PhD), now extended for any periods of approved leave.
Maximum Program Leave of Absence	2 years – under exceptional circumstances, the <u>Associate Dean</u> can approve additional leave.
Program Extensions	Up to the MPC (5 years)
Extension of the MPC	Up to 2 years - under exceptional circumstances, the <u>Dean HDR</u> can approve additional extensions.
Ending of Program at end of MPC	<ul style="list-style-type: none"> ▪ The program ends at the end of the MPC (viz. 5 years plus any approved extensions of the MPC, up to 2 years). ▪ Processing of End of Program is paused until the outcome of any appeal of a decision to not grant an extension of the MPC.

Changes to delegations to approve Leave and Program Extensions:

- Associate Dean now approves Program Leave over 2 years and all Program Extensions
- Dean HDR now also approves single extensions of MPC that are more than 6 months, or where extensions total more than 1 year.



Policy Revision 2: *Academic Integrity Rule 2021*

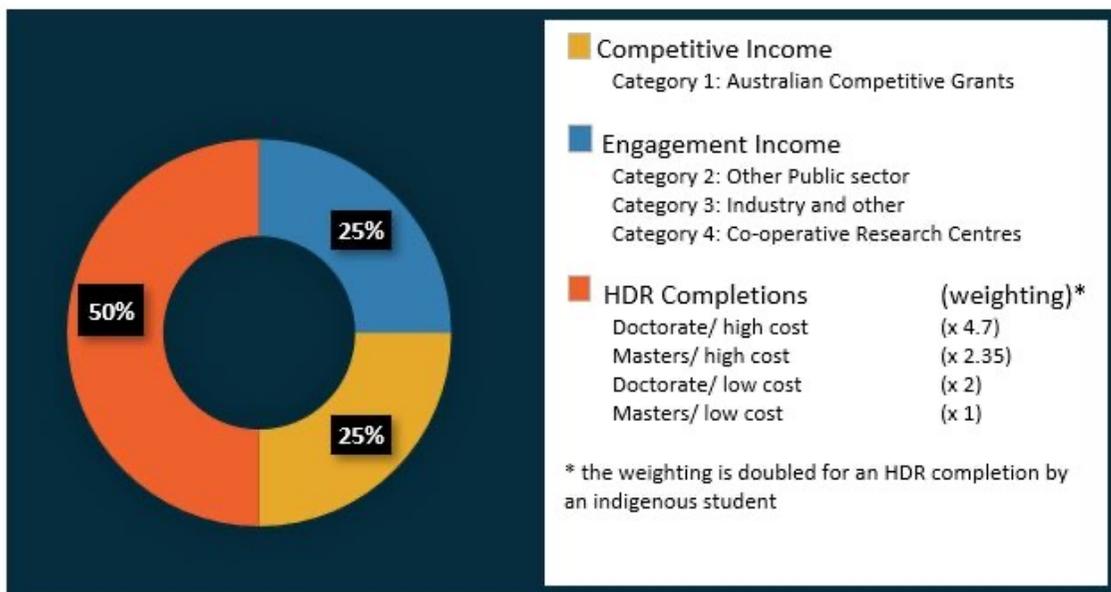
- HDR candidates must complete Research Integrity Training **within three months** of program commencement. The training modules are available on Wattle (link below).
- Supervisors are encouraged to provide their own examples of what it means to adhere to academic integrity in the context of the research that the candidate is undertaking; and to discuss these examples with the candidate.



Practice update 1: Changed funding drivers

Australian Government Research Training Program (RTP) funding is the primary source of funding to ANU for HDR candidates. RTP allocations to universities are based on each university's performance relative to the sector as a whole.

In 2021, RTP allocations were based on each university's share of competitive grant income, engagement income, and HDR completions, weighted as below:



From 2022, RTP allocations will also include a weighting for HDR completions where the candidate has completed an **industry internship** of 60 days that is agreed within the first 18 months of candidature.
(see website and FAQs linked below)

Practice update 2: Completions and Engagement

HDR Completions

- ANU HDR completions have declined relative to the sector, as has our relative performance in competitive and engagement income. The combined consequence is a reduction in ANU RTP funding; we therefore have less money to support candidature and scholarships in 2022+.
- Supporting our candidates to complete, and attracting strong new candidates into our programs, are both important in addressing the decline in RTP.

Industry engagement

- Additional Australian Government HDR funding is being directed to industry-engaged PhDs through the *University Research Commercialization Action Plan*, from 1 July 2022.
- The Plan focuses on projects relevant to the *National Manufacturing Priorities*, and on domestic candidates. Core elements over 4 years are:
 - University-led: 225 industry-engaged PhDs will receive stipend top-up. Candidates must have AGRTP stipend.
 - Industry-led: 230 employer-sponsored 4-year PhDs. Candidate receives full salary.
 - CSIRO-led: 150 CSIRO iPhD places.

See links below for further details



Practice update 3: ANU PhD Strategy

The 1st stage of the *ANU PhD Strategy* will be implemented in 2022, comprising:

- two induction weeks – in April and September.
All new HDR candidates are expected to participate in an induction;
- a framework for supporting career skills for professional researchers;
- materials to support internships, industry PhDs and industry engagement;
- plagiarism detection software to support writing development and submission;
- developing support for supervisors of First Nations candidates.

Practice update 4: COVID-19 impacts

COVID-19 continues to impact on HDR candidates and their work in many ways.

ANU support includes:

- COVID-19 Extension Scholarships, which remain open until 1 September 2022 (see link below);
- resources on the HDR Supervision Portal to assist with supervising remotely, and with supporting candidates' (and your own) mental health;
- Researcher Development Team-led activities for candidates.



Practice update 5: Oral Examination Pilot

- The ANU Oral Examination Pilot began in late 2020, and will run until the 2nd quarter of 2022. Participation is voluntary.
- In the ANU Pilot:
 - an ANU academic independent of the candidate and supervisors (eg a HDR Convenor) moderates the oral examination, of c. 90 minutes.
 - the candidate receives the examiners' reports well prior to the oral, and is invited to make a short presentation at the start of the oral.
- Outcomes of the Pilot will inform future examination policy.

3. HDR Supervision Resources reminders

Through the **HDR Supervision Portal**, you can access:

1. the ***Supervising Doctoral Studies*** package comprising:

- a diagnostic tool to help make best use of the resources, and 10 modules which address key topics in HDR supervision.
- Completion of any 2 modules qualifies as a registration renewal activity.

2. **Blogs** and resources on topics of common interest, including:

Cohort supervision | Disrespectful relationships | Reflective practice | Remote supervision | Writing groups

In 2022, we'll also provide reading packs on these topics that you can use as a renewal activity.

3. **Recordings of workshops**, including:

Enhancing employability | Ethics & Integrity | Managing supervisory relationships | Mental health

