

## Report Form: Complaint or Allegations of a breach of the Australian Code for the Responsible Conduct of Research, 2018

This form is for making a complaint or allegation of research misconduct or serious research misconduct. Before proceeding, consider discussing the matter with a Research Integrity Adviser. The below documents will assist in determining the nature of research misconduct that has occurred:

- [The Australian Code for the Responsible Conduct of Research, 2018](#) and [associated Guides](#), and
- [Guidance Document: Making a research misconduct complaint or allegation](#) against a current or former ANU staff member or Visiting/Honorary researcher.

If sections are not applicable or you don't know the information please clearly state this. Please do not leave sections blank.

### 1. Details of person lodging the allegation

Title	
Surname	
Given Name	
Organisation	
Position Title	
Department	
Phone Numbers	
Postal Address	
Email	

#### 1.2 Are you lodging the allegation in a personal or professional capacity?

#### 1.3 If you are an ANU staff member please provide your University ID Number

### 2. Details of (Primary) person alleged to have committed research misconduct. If more than one person is involved in the same matter, please replicate the table below for each. Please complete as much as you can.

Title	
Surname	
Given Name	
Organisation	
Position Title	
Department	
Telephone Numbers	
Email	

**2.1 At the time the alleged research misconduct occurred was the person/s involved staff or students of the ANU?**

**3. Date/s the alleged research misconduct occurred**

**4. Nature of the alleged research misconduct:**

*Please indicate all that apply*

<b>i. Not meeting required research standards</b>	
<ul style="list-style-type: none"> <li>Conducting research without ethics approval as required by the <a href="#">National Statement on Ethical Conduct in Human Research</a> and the <a href="#">Australian Code for the Care and Use of Animals for Scientific Purposes</a></li> </ul>	<input type="checkbox"/>
<ul style="list-style-type: none"> <li>Failing to conduct research as approved by an appropriate ethics review body</li> </ul>	<input type="checkbox"/>
<ul style="list-style-type: none"> <li>Conducting research without the requisite approvals, permits or licences</li> </ul>	<input type="checkbox"/>
<ul style="list-style-type: none"> <li>Misuse of research funds</li> </ul>	<input type="checkbox"/>
<ul style="list-style-type: none"> <li>Concealment or facilitation of breaches (or potential breaches) of the Code by others</li> </ul>	<input type="checkbox"/>
<b>ii. Fabrication, falsification, misrepresentation</b>	
<ul style="list-style-type: none"> <li>Fabrication of research data or source material</li> </ul>	<input type="checkbox"/>
<ul style="list-style-type: none"> <li>Falsification of research data or source material</li> </ul>	<input type="checkbox"/>
<ul style="list-style-type: none"> <li>Misrepresentation of research data or source material</li> </ul>	<input type="checkbox"/>
<ul style="list-style-type: none"> <li>Falsification and/or misrepresentation to obtain funding</li> </ul>	<input type="checkbox"/>
<b>iii. Plagiarism</b>	
<ul style="list-style-type: none"> <li>Plagiarism of someone else's work, including theories, concepts, research data and source material</li> </ul>	<input type="checkbox"/>
<ul style="list-style-type: none"> <li>Duplicate publication (also known as redundant or multiple publication, or self-plagiarism) without acknowledgement of the source</li> </ul>	<input type="checkbox"/>
<b>iv. Research data management</b>	
<ul style="list-style-type: none"> <li>Failure to appropriately maintain research records</li> </ul>	<input type="checkbox"/>
<ul style="list-style-type: none"> <li>Inappropriate destruction of research records, research data and/or source material</li> </ul>	<input type="checkbox"/>
<ul style="list-style-type: none"> <li>Inappropriate disclosure of, or access to, research records, research data and/or source material</li> </ul>	<input type="checkbox"/>
<b>v. Supervision</b>	
<ul style="list-style-type: none"> <li>Failure to provide adequate guidance or mentorship on responsible research conduct to researchers or research trainees under their supervision</li> </ul>	<input type="checkbox"/>
<b>vi. Authorship</b>	
<ul style="list-style-type: none"> <li>Failure to acknowledge the contributions of others fairly</li> </ul>	<input type="checkbox"/>
<ul style="list-style-type: none"> <li>Misleading ascription of authorship including failing to offer authorship to those who qualify or awarding authorship to those who do not meet the requirements</li> </ul>	<input type="checkbox"/>
<b>vii. Conflicts of interest</b>	
<ul style="list-style-type: none"> <li>Failure to disclose and manage conflicts of interest</li> </ul>	<input type="checkbox"/>
<b>viii. Peer review</b>	

<ul style="list-style-type: none"> <li>• Failure to conduct peer review responsibly</li> </ul>	<input type="checkbox"/>
<b>ix. Other (please specify)</b>	<input type="checkbox"/>

**5. Clearly outline the type of misconduct or activity that is alleged to have occurred.**

- Identify and provide as much supporting evidence as possible to substantiate the allegation, either by writing in the box below or attaching a separate document.
- It is helpful if each allegation or instance is numbered.
- Evidence should be numbered to correspond with relevant points within the allegation.
- Where the evidence is a document, sections of the document should be clearly referred to by page number section, etc., and where possible should be physically marked to match each alleged instance.

**Declaration**

I hereby declare that the information I have provided is true and correct to the best of my belief.

**Name:**

**Position:**

**Date:**

**Signature:**

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Please return this form together with relevant supporting documentation to:

Email: [researchintegrity@anu.edu.au](mailto:researchintegrity@anu.edu.au) or

Post:

Attention: The Research Integrity Officer  
Research Services and Innovation  
48A Linnaeus Way  
Canberra ACT 2600  
The Australian National University

If you have non-documentary evidence that supports your allegation please contact [researchintegrity@anu.edu.au](mailto:researchintegrity@anu.edu.au) to organise an appropriate method of submission.